

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket No.	S03-060
	First Named Inventor	DZENG, ELIZABETH
	Application Number	To Be Assigned
	Filing Date	Herewith
	Group Art Unit	Unknown
	Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRANSESOPHAGEAL HEAT EXCHANGE CATHETER FOR COOLING OF THE HEART

The specification of which

☒ is attached hereto
 or
☐ was filed on _____ as United States Application Number or PCT International Application Number
 _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application (s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? (Y/N)
<input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:				


I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

Application Serial No.	Filed	Status (Pending, Abandoned, Patented)
60/455,518	March 17, 2003	Pending


I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in said prior applications in the manner required by the first paragraph of Title 35, United States Code Section 112, I acknowledge my duty to disclose material information as defined in Title 37 Code of Federal Regulation, Section 1.56(a) which occurred between the filing dates of the prior applications and the national or Patent Cooperation Treaty international Filing date of this application:

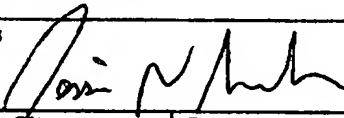
Application Serial No.	Filed	Status (Pending, Abandoned, Patented)

DECLARATION- Utility or Design Patent Application

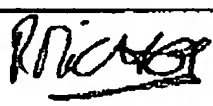
Direct all correspondence to: <input type="checkbox"/> Customer number or barcode label				OR <input checked="" type="checkbox"/> Correspondence address below.			
Name: Bell & Associates							
Address: 416 Funston Avenue							
City: San Francisco			State: CA			Zip: 94118	
Country: USA			Telephone: (415) 221-5581			Fax: (415) 276-6040	
<small>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information or belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</small>							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> a petition has been filed for this unsigned inventor			
Given Name Elizabeth (first and middle [if any])				Family Name Dzeng Or Surname			
Inventor's Signature 				Date March 10, 2004			
Residence: City Baltimore		State Maryland		Country United States		Citizenship United States	
Mailing Address Box 12-D-1, 1620 McElderry Street							
City Baltimore		State MD		ZIP 21205		Country USA	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> a petition has been filed for this unsigned inventor			
Given Name Fay (first and middle [if any])				Family Name Xing Or Surname			
Inventor's Signature				Date			
Residence: City Palo Alto		State California		Country United States		Citizenship United States	
Mailing Address 1540 Oak Creek Drive #312							
City Palo Alto		State CA		ZIP 94394		Country USA	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) Sheet(s) PTO/SB/02A or 02LR attached hereto.							

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NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> a petition has been filed for this unsigned inventor			
Given Name Elizabeth (first and middle (if any))				Family Name Dzeng Or Surname			
Inventor's Signature				Date			
Residence: City Baltimore		State Maryland		Country United States		Citizenship United States	
Mailing Address Box 12-D-1, 1620 McElderry Street							
City Baltimore		State MD		ZIP 21205		Country USA	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> a petition has been filed for this unsigned inventor			
Given Name Fay (first and middle (if any))				Family Name Xing Or Surname			
Inventor's Signature 				Date 3-11-2004			
Residence: City Palo Alto		State California		Country United States		Citizenship United States	
Mailing Address 1540 Oak Creek Drive #312							
City Palo Alto		State CA		ZIP 94394		Country USA	
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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet - Page 1 of 1	
Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))	Jessica	Family Name Or Surname	March
Inventor's Signature		Date	3/10/04
Residence: City Philadelphia	State Pennsylvania	Country United States	Citizenship United States
Mailing Address 1815 JFK Boulevard #1510			
City Philadelphia	State PA	ZIP 19103	Country USA
Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))	Muhammad Rijwane-ul	Family Name Or Surname	Islam
Inventor's Signature		Date	
Residence: City Santa Clara	State California	Country United States	Citizenship Bangladesh
Mailing Address 1652 Hope Drive, # 1335			
City Santa Clara	State CA	ZIP 95054	Country USA
Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))	Raphael	Family Name Or Surname	Michel
Inventor's Signature		Date	
Residence: City San Diego	State California	Country United States	Citizenship France
Mailing Address 5050 La Jolla Blvd #2E			
City San Diego	State CA	ZIP 92109	Country USA

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet - Page 1 of 1	
Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name Jessica (first and middle (if any))		Family Name March Or Surname	
Inventor's Signature		Date	
Residence: City Philadelphia	State Pennsylvania	Country United States	Citizenship United States
Mailing Address 1815 JFK Boulevard #1510			
City Philadelphia	State PA	ZIP 19103	Country USA
Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name Muhammad Rijwane-ul (first and middle (if any))		Family Name Islam Or Surname	
Inventor's Signature <i>Rijwane</i>		Date 03/09/2004	
Residence: City Santa Clara	State California	Country United States	Citizenship Bangladesh
Mailing Address 1652 Hope Drive, # 1335			
City Santa Clara	State CA	ZIP 95054	Country USA
Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name Raphael (first and middle (if any))		Family Name Michel Or Surname	
Inventor's Signature		Date	
Residence: City San Diego	State California	Country United States	Citizenship France
Mailing Address 5050 La Jolla Blvd #2E			
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Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])	Jessica	Family Name Or Surname	March
Inventor's Signature		Date	
Residence: City Philadelphia	State Pennsylvania	Country United States	Citizenship United States
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Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])	Muhammad Rijwane-ul	Family Name Or Surname	Islam
Inventor's Signature		Date	
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Name of Additional Joint Inventor:		<input type="checkbox"/> a petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])	Raphael	Family Name Or Surname	Michel
Inventor's Signature 		Date 03/10/2004	
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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Attorney Docket No.		S03-060	
	First Named Inventor		DZENG, ELIZABETH	
	Application Number		To be assigned	
	Filing Date		Herewith	
	Group Art Unit		Unknown	
	Authorized Officer		Unknown	

I hereby appoint:

☐ Practitioners at Customer Number _____

OR

☒ Practitioner(s) named below:

Name	Registration Number
ADAM W. BELL	43,490
MATTHEW R. KASER	44,817

as my/our attorney(s) or agent(s) to prosecute the application identified above, and all related applications (including divisionals, continuations and CIPs) and to transact all business in the United States Patent and Trademark Office connected therewith, and in any PCT or WIPO or European Patent Office or Other Patent Office connected therewith where the practitioner is eligible to practice.

Please change the correspondence address for the above-identified application to:

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OR

☐ The address associated with Customer Number _____

OR

<input checked="" type="checkbox"/> Firm or Individual Name		BELL & ASSOCIATES			
Address		416 FUNSTON AVENUE			
Address					
City		SAN FRANCISCO			
Country	USA	State	CA	ZIP	94118
Telephone	(415) 752-4085	Fax	(415) 276-6040		

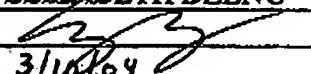
I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 C.F.R. 3.71.

Statement under 37 C.F.R. 3.73(b) is enclosed. (FormPTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	ELIZABETH DZENG
Signature	
Date	3/10/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Attorney Docket No.		S03-060	
	First Named Inventor		DZENG, ELIZABETH	
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City SAN FRANCISCO

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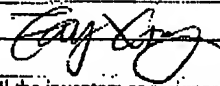
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SIGNATURE of Applicant or Assignee of Record

Name	FAY XING
Signature	
Date	3-11-2004

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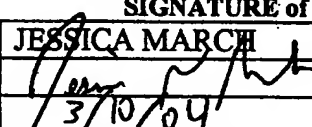
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SIGNATURE of Applicant or Assignee of Record

Name	JESSICA MARCHE
Signature	
Date	3/10/04

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SIGNATURE of Applicant or Assignee of Record

Name	MUHAMMAD RIJWANE-UL ISLAM
Signature	<i>Rijwane</i>
Date	03/09/2004

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SIGNATURE of Applicant or Assignee of Record

Name	RAPHAEL MICHEL
Signature	
Date	03/10/2004

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